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UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Keneen et al.
Application No.: 09/374,721
Filed: August 13, 1999
Examiner: Not Assigned
Art Unit: 1643
Title: HEAT SHOCK FUSION-BASED VACCINE SYSTEM

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Honorable Commissioner of Patents and Trademarks, Washington, D.C. 20231 on 2/28/01
FARRELL & ASSOCIATES, P.C.

Jenny Moulton 2/28/01

SUBSTITUTE SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The Honorable Commissioner
of Patents and Trademarks
Washington, D.C. 20231

Sir:

This Information Disclosure Statement is submitted:

☐ under 37 CFR 1.97(b), or

(Filed within three months of filing national application; within three months of date of entry of national stage of international application; or before mailing date of first office action on the merits; whichever occurs last)

☒ under 37 CFR 1.97(c) together with either:

☐ a Certification under 37 CFR 1.97(e), or

☒ a \$240.00 fee under 37 CFR 1.17(p), or

(Filed after the CFR 1.97(b) time period, but before final action or notice of allowance)

The undersigned hereby certifies that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.

- ☐ under 37 CFR 1.97(d) together with:
 - ☐ a Certification under 37 CFR 1.97(e), and
 - ☐ a petition under 37 CFR 1.97(d)(2)(ii), and
 - ☐ a petition fee set forth in 37 CFR 1.17(i)(1).

(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Method of Fee Payment:

- ☐ Enclosed is a check in the amount of \$_____.
- ☐ Please charge Deposit Account 06-0130 in the amount of \$_____.
- ☐ Two duplicate copies of this Statement are enclosed.
- ☐ Please charge any deficiency in fees and credit any overpayment to Deposit Account 06-0130.

Enclosed herewith is form PTO-1449:

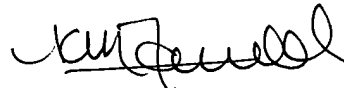
- ☐ Copies of the cited references are enclosed.
- ☒ Copies of cited references are enclosed except those of record in prior applications, U.S. Serial Nos. 09/026,276 and 09/374,721, and references that are not required to be submitted under Rule 98.

Concise Explanation Requirements:

- ☐ The "concise explanation" requirement under C.F.R. 1.98(a)(3) is satisfied by:
 - ☐ the explanation provided on the attached sheet.
 - ☐ the explanation provided in the Specification.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,



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York Harbor, ME
Dated: 2/27/01
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